

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,485	07/20/2001	Mark B. Lyles	068986.0102

CONFIRMATION NO. 1620

## FORMALITIES LETTER



\*OC000000006508079\*

Baker Botts L.L.P.  
One Shell Plaza  
910 Louisiana  
Houston, TX 77002-4995

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

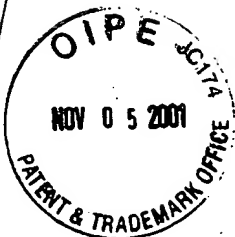
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$157.
  - \$117 for 13 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 577.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 09910485 00000054 020383 370.00 OP 117.00 OP 42.00 OP 48.00 OP 17.00 CH 01 FC:201 02 FC:203 03 FC:202 04 FC:205



**PATENT**  
068986.0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**MARK B. LYLES**

Serial No.: 09/910,485

Filing Date: **JULY 20, 2001**

Title: **"SUNSCREEN FORMULATIONS  
CONTAINING NUCLEIC ACIDS"**

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§  
§

Group Art Unit:

Examiner:

Docket No.: **068986.0102**

Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on November 5, 2001.

*Bureau of Patents*  
Signature

Express Mail Label: EL865848225US

**COMPLETION OF FILING REQUIREMENTS**

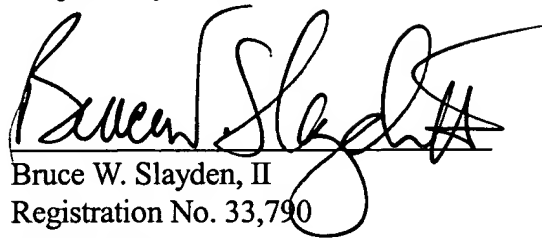
This replies to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) mailed September 4, 2001. As November 4, 2001 is a Sunday, this response is considered timely filed on Monday, November 5, 2001. A copy of the Notice is enclosed.

Enclosed is the declaration of inventor Mark B. Lyles for this application.

Enclosed is a check in the amount of \$577.00 which covers the basic filing fee, thirteen (13) total claims over 20, one (1) independent claim over three (3), and the late filing fee of the declaration. In the event that any other fees are required, please charge the undersigned's deposit account number 02-0383.

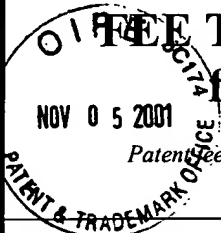
Date: November 5, 2001

Respectfully submitted,


A handwritten signature in black ink, appearing to read "Bruce W. Slayden, II", written over a horizontal line.

Bruce W. Slayden, II  
Registration No. 33,790  
Baker Botts L.L.P.  
One Shell Plaza  
910 Louisiana Street  
Houston, Texas 77002-4995  
Telephone: 713.229.1341  
Facsimile: 713.229.7741

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 <h1 style="margin: 0;">FREE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 0;">Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>		
		Application Number	09/910,485	
		Filing Date	07/20/01	
		First Named Inventor	Mark B. Lyles	
		Examiner Name	Unassigned	
		Group Art Unit	Unassigned	
TOTAL AMOUNT OF PAYMENT		\$ 577.00	Attorney Docket No.	068986.0102

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:          Deposit Account Number: 02-0383          Deposit Account Name: Baker &amp; Sons L.L.P.  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<h3>3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130</td> <td>205 65</td> <td>Surcharge - late filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>127 50</td> <td>227 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130</td> <td>139 130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520</td> <td>147 2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110</td> <td>215 55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116 390</td> <td>216 195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117 890</td> <td>217 445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118 1,390</td> <td>218 695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128 1,890</td> <td>228 945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119 310</td> <td>219 155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 310</td> <td>220 155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 270</td> <td>221 135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110</td> <td>240 55</td> <td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Bruce W. Slayden, II	Registration No. (Attorney/Agent)	33,790	Telephone	713.229.1786
Signature		Date	November 5, 2001		

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12-10-01


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Washington, D.C. 20231

on November 5, 2001

  
Bruce W. Slayden, II (Reg. No. 33,790)

November 5, 2001  
Date

EL865848225US  
Express Mail Label

Included in this Patent Application Mailing are:

1. Completion of Filing Requirements;
2. Copy of Notice to File Missing Parts of Nonprovisional Application mailed 09/04/01;
3. Declaration of Inventor Mark B. Lyles;
4. Check in the amount of \$577.00;
5. Fee Transmittal (plus duplicate copy);
6. Return Postcard to acknowledge receipt of the above items.

First Named Inventor:	Mark B. Lyles
U.S.S.N.:	09/910,485
Filing Date:	July 20, 2001
Attorney Docket No.:	068986.0102
Title:	"Sunscreen Formulations Containing Nucleic Acids"